

# Combinatorial Exploration

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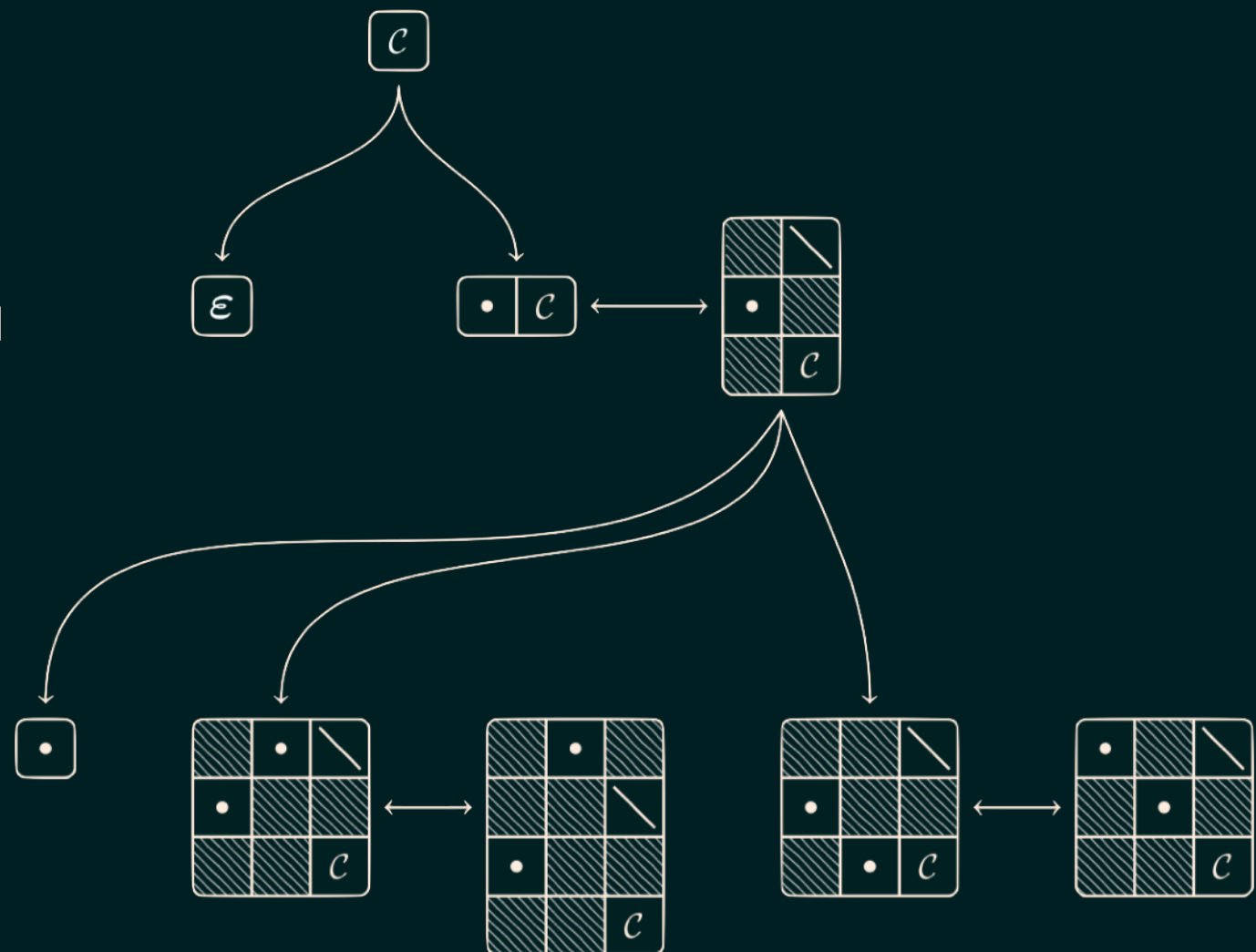
with:  
Michael Albert  
Christian Bean  
Anders Claesson  
Émile Nadeau  
Henning Ulfarsson

a new approach to enumeration

Applications of Computer Algebra 2021

Session on Algorithmic Combinatorics

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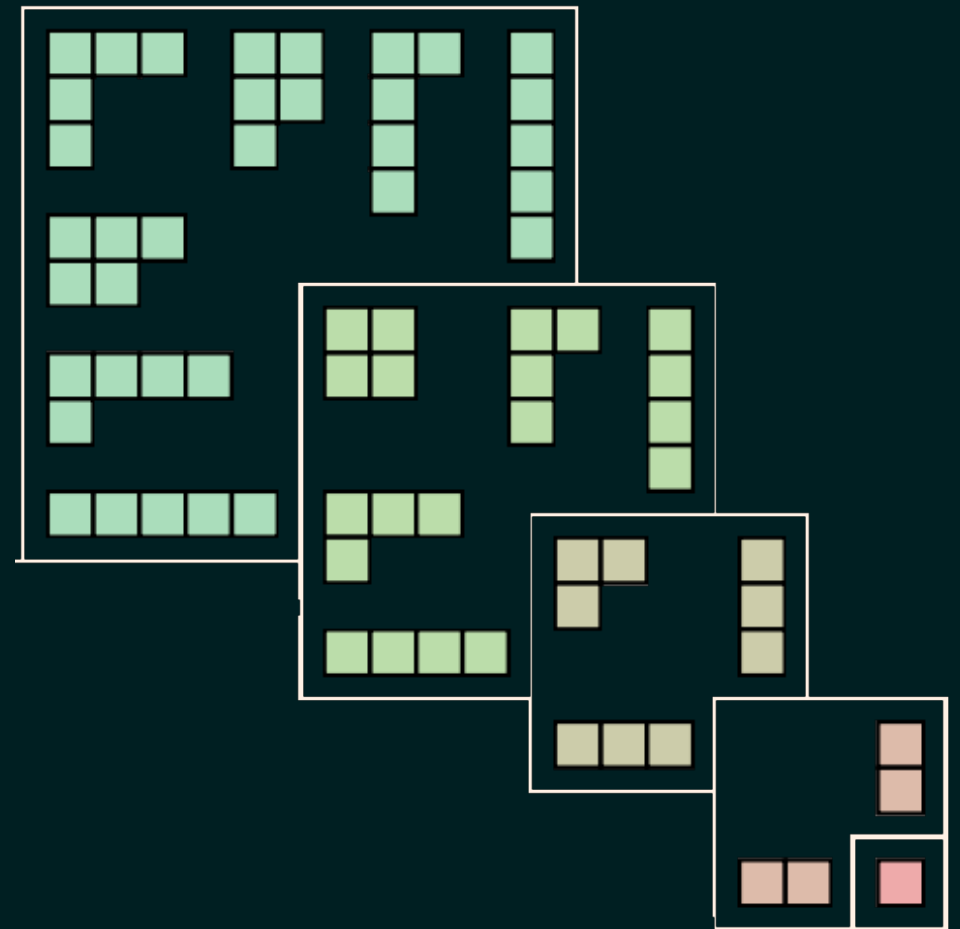


▶ Structure in combinatorial objects

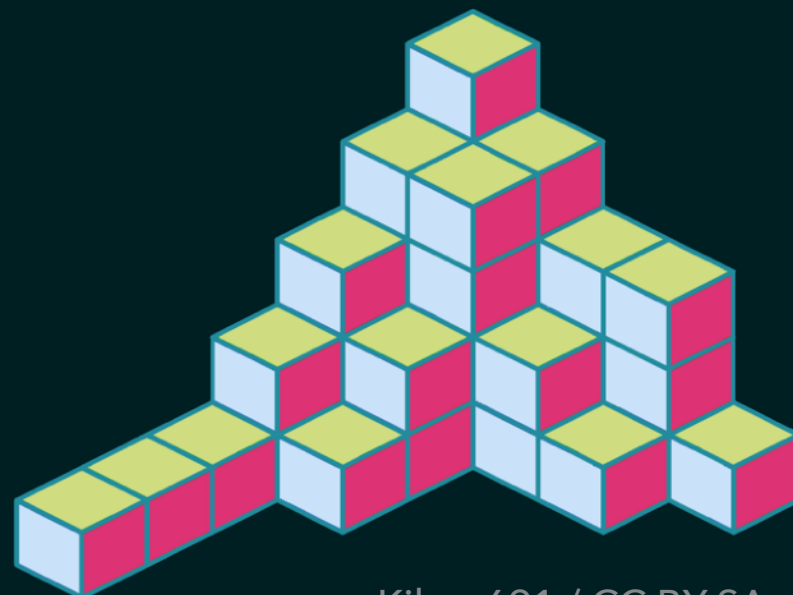
Graphs:



Integer  
Partitions:



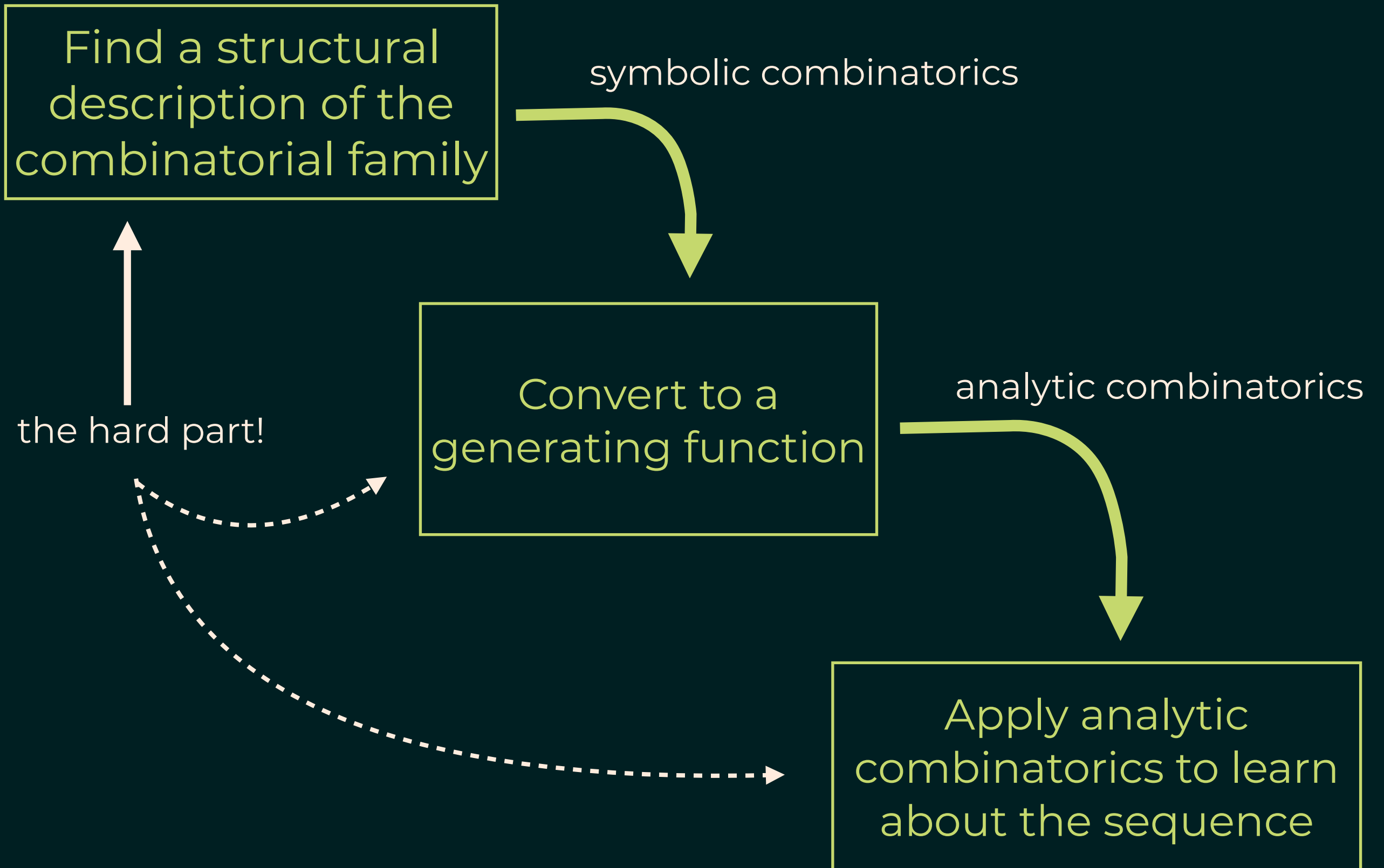
Plane  
Partitions:



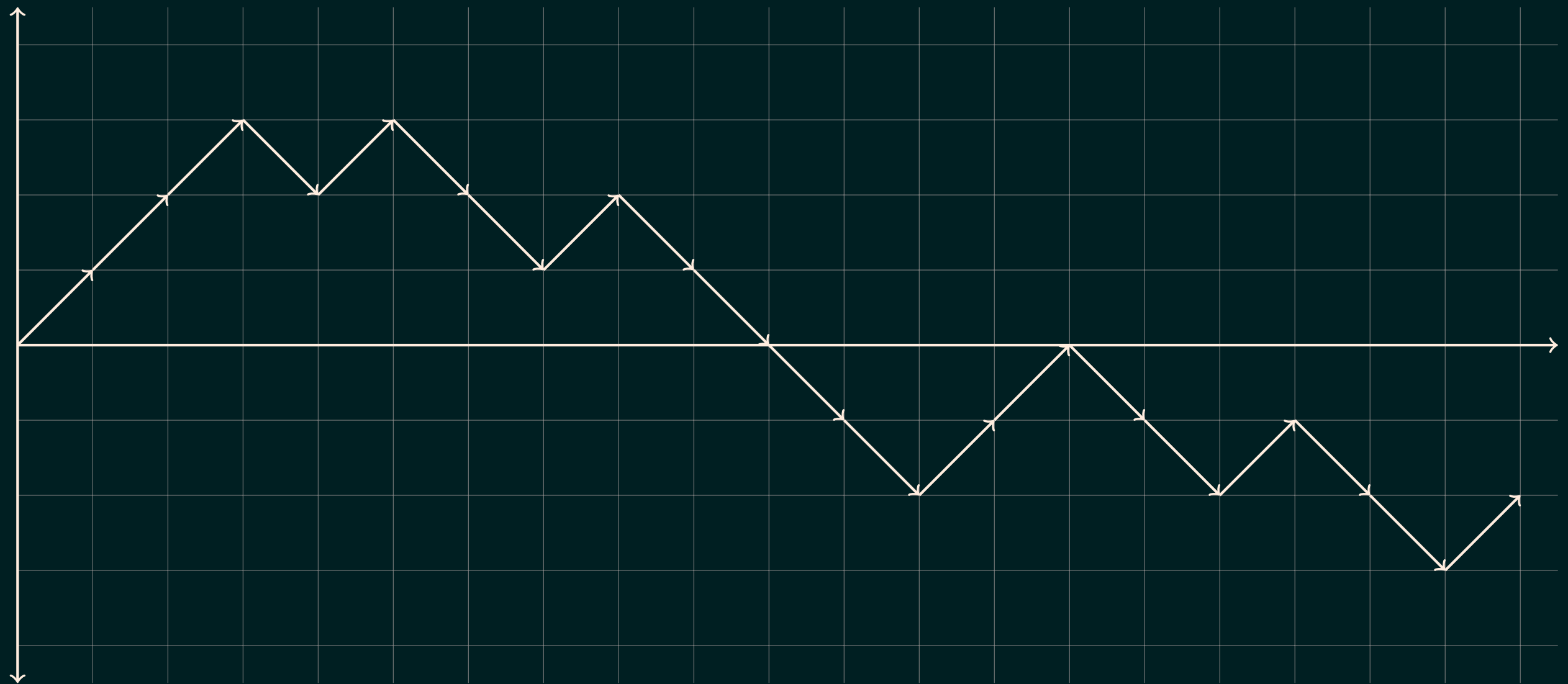
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- ▶ Questions:
  - ▶ How many are there of each size?
    - ▶ explicit formula, generating function, polynomial-time algorithm
  - ▶ How does the counting sequence grow asymptotically as  $n \rightarrow \infty$ ?
  - ▶ How can I sample an object of size  $n$  uniformly at random?
  - ▶ How can I build the objects of size  $n$  from the objects of smaller size?



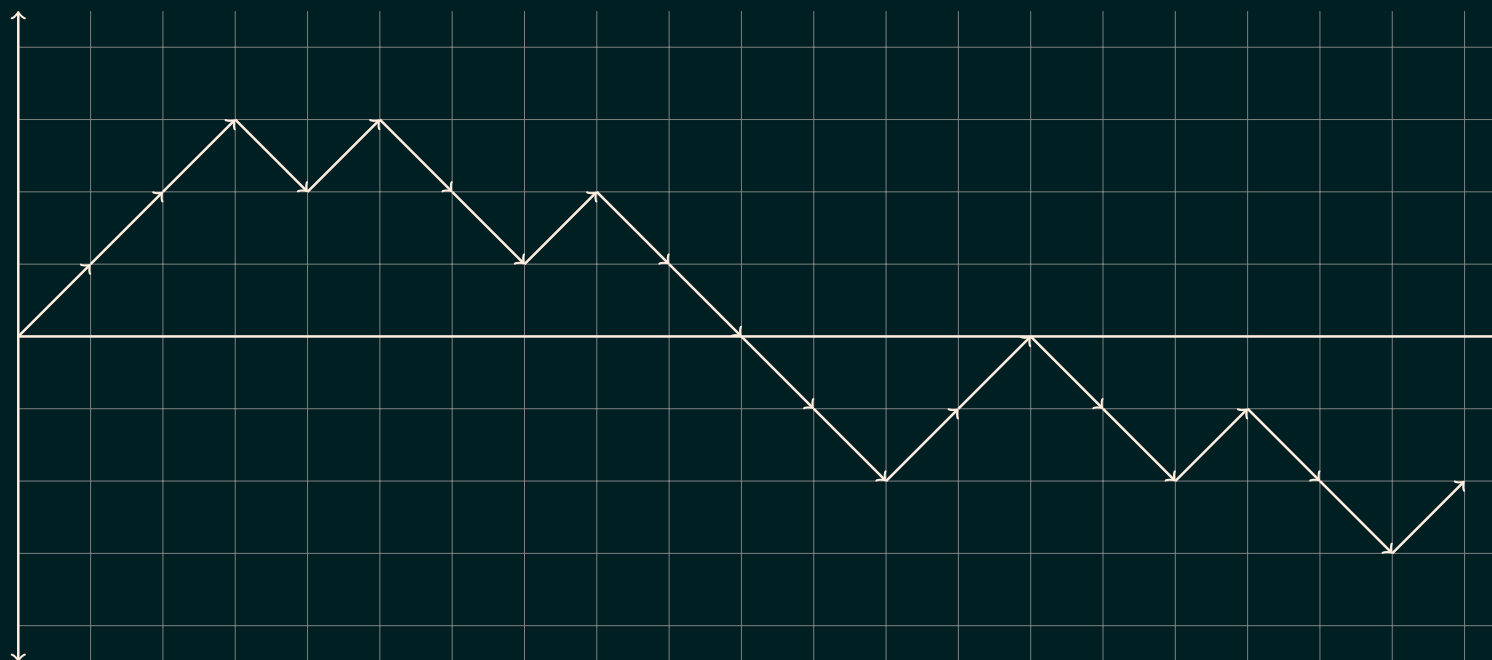
An *up-down walk* is a walk in the plane that starts at the origin and takes only NE and SE steps.



size = # of steps = 20

Before we ask questions, we need to understand the structure.

- ▶ The set of up-down walks of size  $n$  can be built by appending either a NE step or a SE step to every up-down walk of size  $n - 1$ .
- ▶ Let's write this structural description in a tree format.



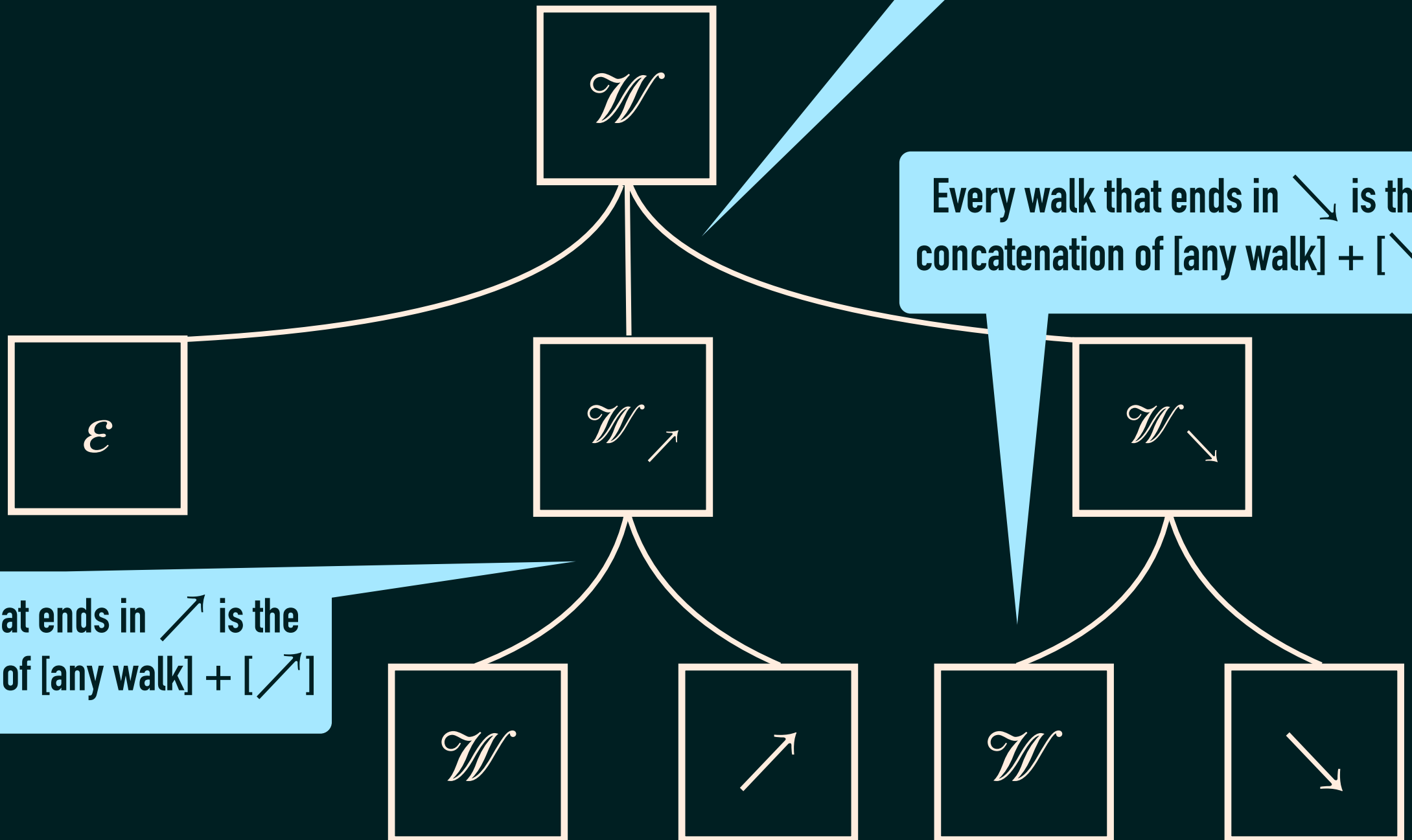
Structural description:

Let  $\mathcal{W}$  be the set of up-down walks.

Every walk is either empty, or ends with  $\nearrow$  or ends with  $\searrow$ .

Every walk that ends in  $\searrow$  is the concatenation of [any walk] + [ $\searrow$ ]

Every walk that ends in  $\nearrow$  is the concatenation of [any walk] + [ $\nearrow$ ]



What do we learn from this structural decomposition?

Systems of equations for generating functions!

$$A(x) = B(x) + C(x) + D(x)$$

$$B(x) = 1$$

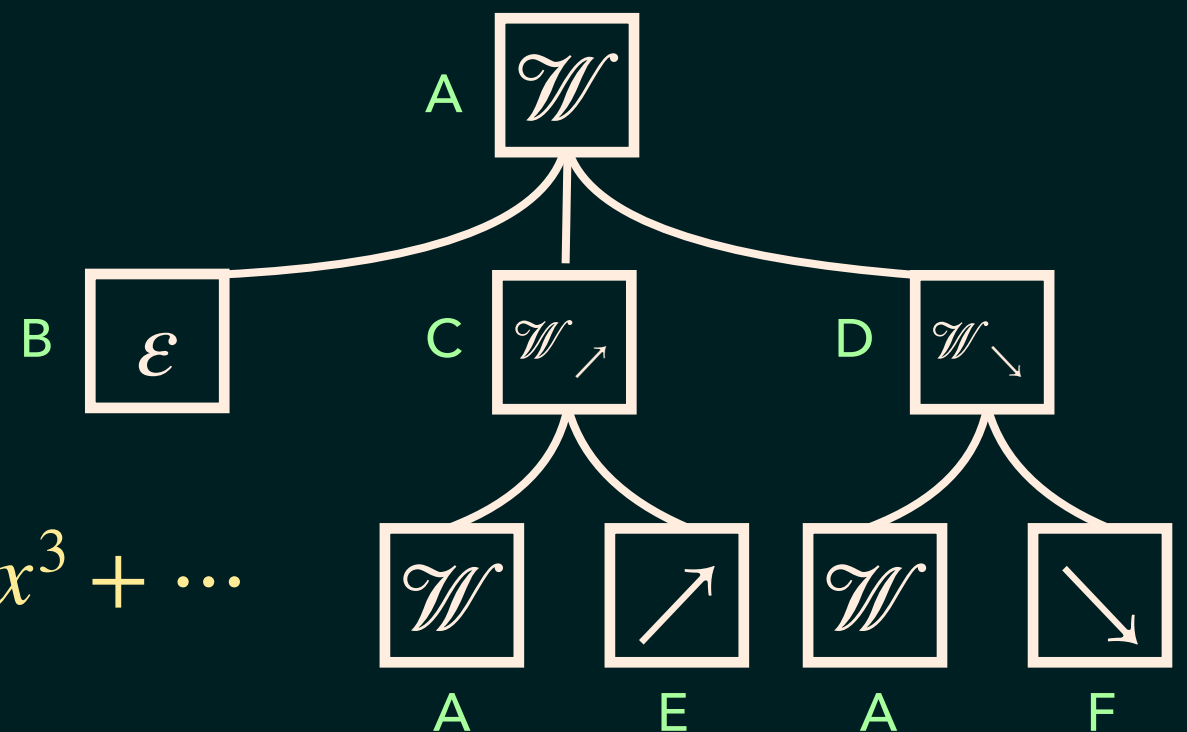
$$C(x) = A(x)E(x)$$

$$D(x) = A(x)F(x)$$

$$E(x) = x$$

$$F(x) = x$$

$$\implies A(x) = \frac{1}{1-2x} = 1 + 2x + 4x^2 + 8x^3 + \dots$$





These structural description trees are just a pictorial way to represent a combinatorial specification.

$$A \rightarrow (B, C, D)$$

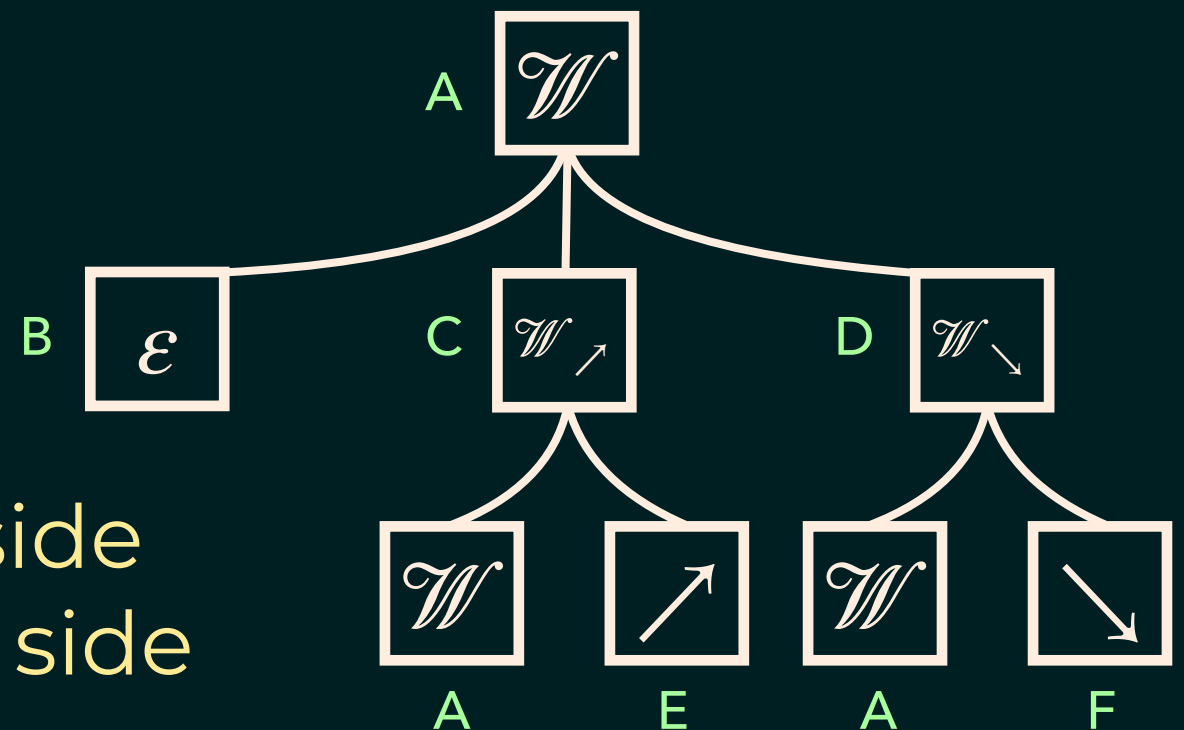
$$B \rightarrow \{\varepsilon\}$$

$$C \rightarrow (A, E)$$

$$D \rightarrow (A, F)$$

$$E \rightarrow \{ \nearrow \}$$

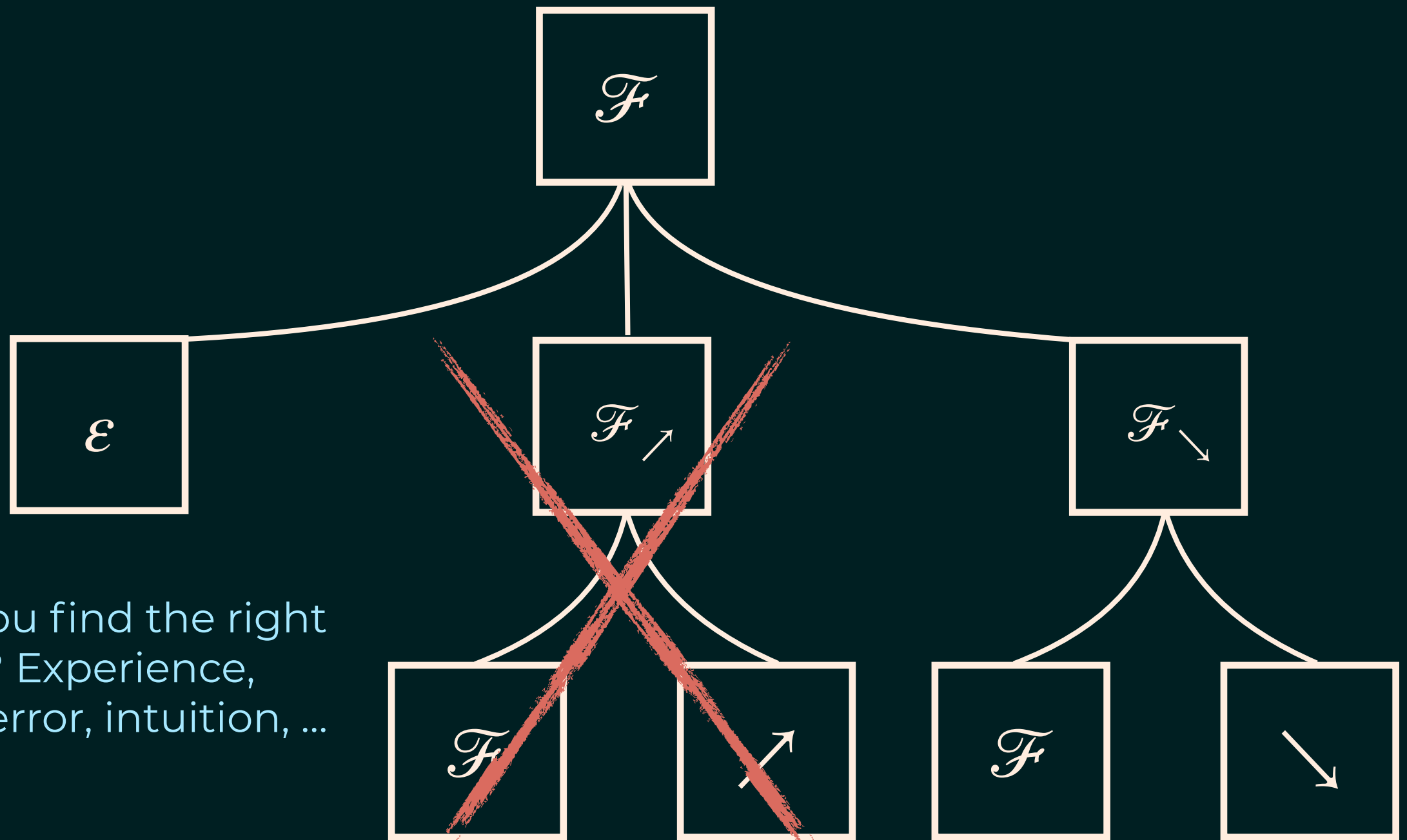
$$F \rightarrow \{ \searrow \}$$



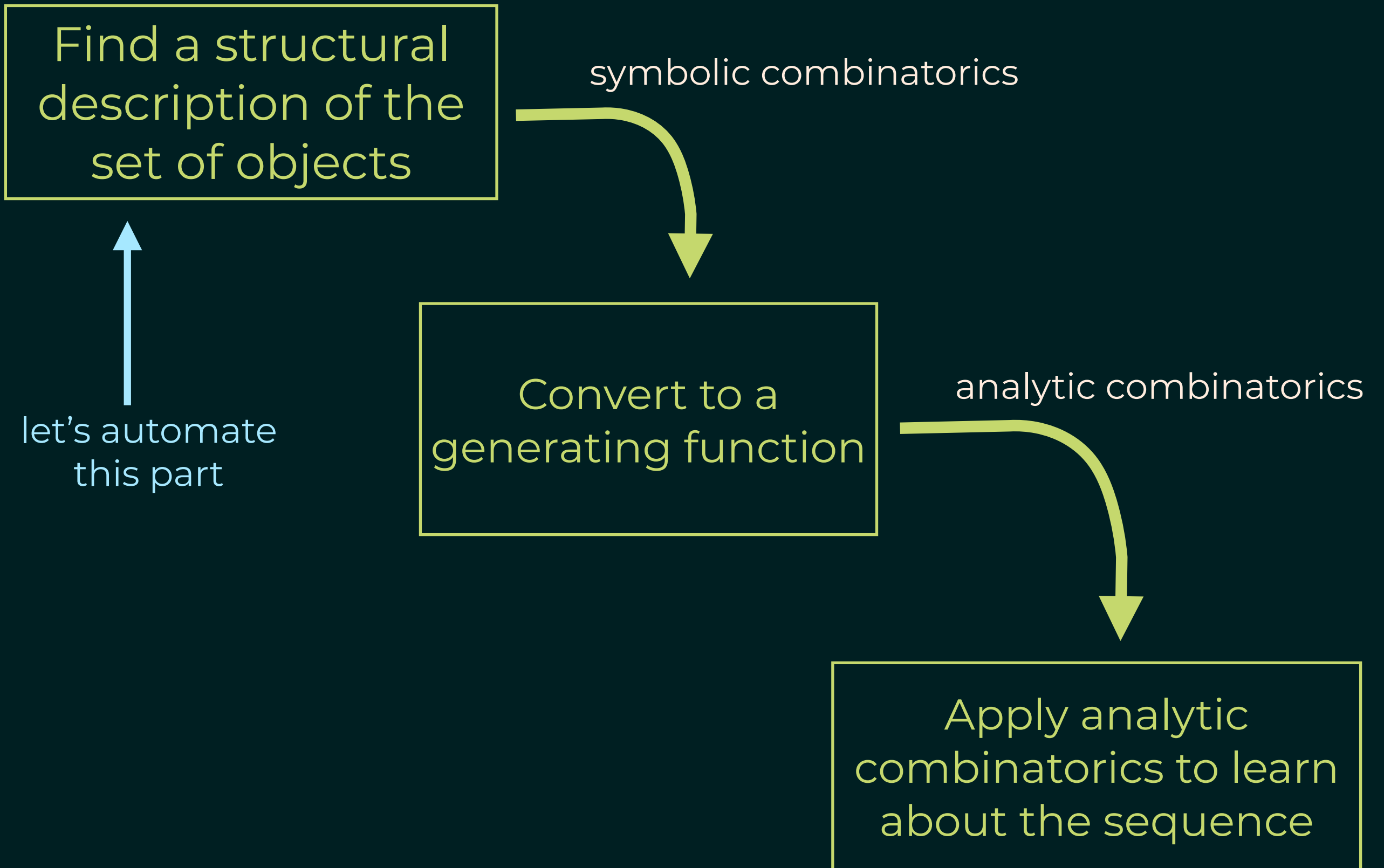
every symbol on the right-hand side appears on exactly one left-hand side

Slightly more complicated:

$\mathcal{F}$  = the set of walks that don't go up three times in a row



How do you find the right structure? Experience, trial-and-error, intuition, ...



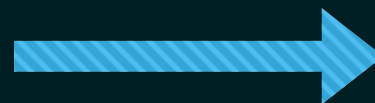
## Requirements:

- ▶ a domain of all objects (up-down walks)
- ▶ a representation for the sets of objects that you'll be working with ("W ↗" is the set of up-down walks that end with ↗)
- ▶ decomposition strategies to split the sets into (hopefully) simpler sets

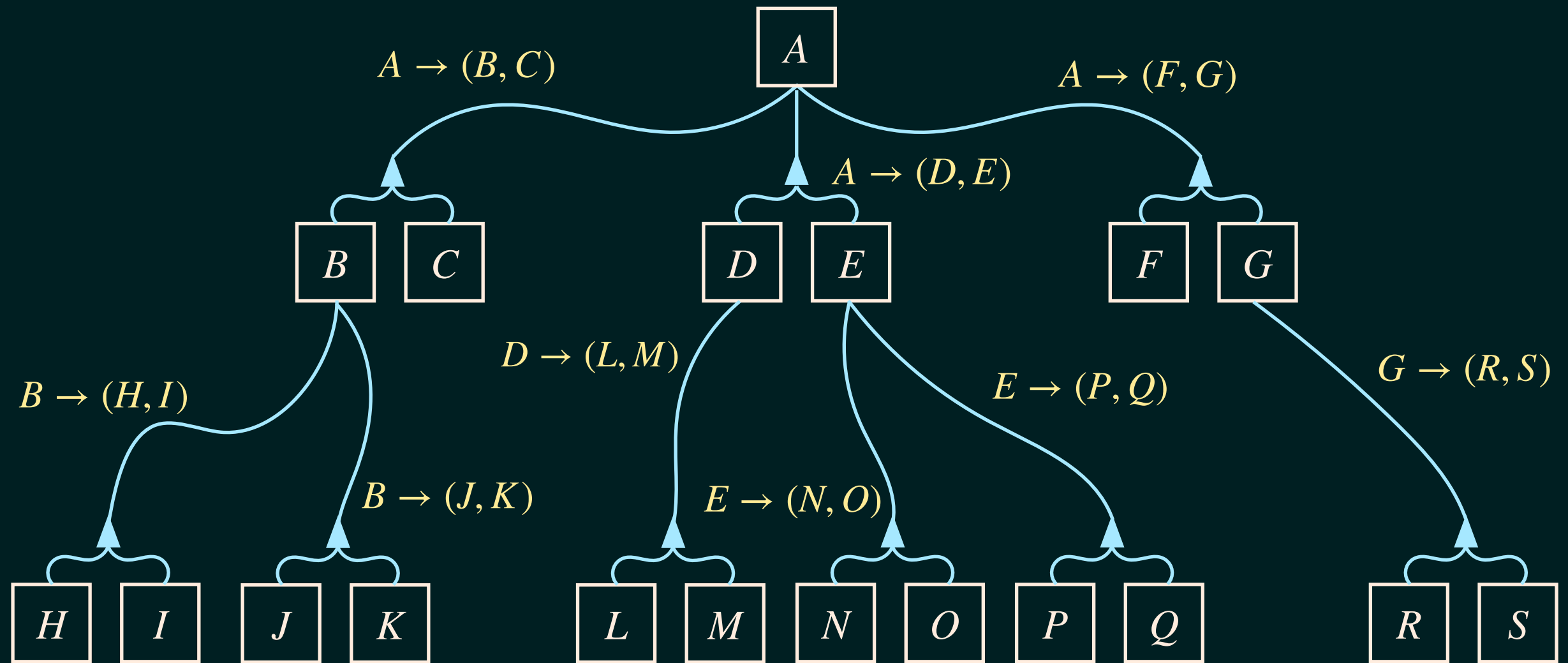
## Procedure:

- ▶ start with a subset of the domain that you want to understand (up-down walks that don't go up three times in a row)
- ▶ try to apply all decomposition strategies to it
- ▶ then apply all decomposition strategies to the new (hopefully) simpler sets, and repeat
- ▶ stop when you understand all the parts

develop strategies for  
a whole domain



apply them to subsets  
of the domain you want  
to learn about



this is just a pictorial version of a list  
of combinatorial rules

when the giant list of rules you're generating contains  
a subset that is a combinatorial specification, you win!

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To run Combinatorial Exploration on a new type of object, you just need to:

- ▶ decide on a good way to represent sets of those objects, and write a Python class for it
- ▶ decide on effective decomposition strategies (this is where domain-specific experience comes in handy)
- ▶ plug these right into our framework, and hit go

- ▶ ~ 50k lines of Python code

[https://github.com/PermutaTriangle/comb\\_spec\\_searcher](https://github.com/PermutaTriangle/comb_spec_searcher)

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Domains we've coded:

- ▶ permutation patterns (inspired this work)
- ▶ set partitions
- ▶ Motzkin paths

Domains that seem promising on paper:

- ▶ polyominoes
- ▶ inversion sequences
- ▶ alternating sign matrices

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Given a set of permutations  $B$ , you can study the set of permutations avoiding the permutations in  $B$  as patterns — these sets are called permutation classes.

For the cases where  $B$  contains two permutations of length 4, there are essentially 56 different permutation classes.

([https://en.wikipedia.org/wiki/Enumerations\\_of\\_specific\\_permutation\\_classes](https://en.wikipedia.org/wiki/Enumerations_of_specific_permutation_classes))

Their enumerations are all known now, but it took several decades and dozens of papers.

Combinatorial Exploration can enumerate all of them.



## Computational Difficulties

Permutations avoiding 132:

$$F_0(x) = F_1(x) + F_2(x)$$

$$F_1(x) = F_0(x)^2 \cdot F_3(x)$$

$$F_2(x) = 1$$

$$F_3(x) = x$$

Permutations avoiding 1432 and 2143:

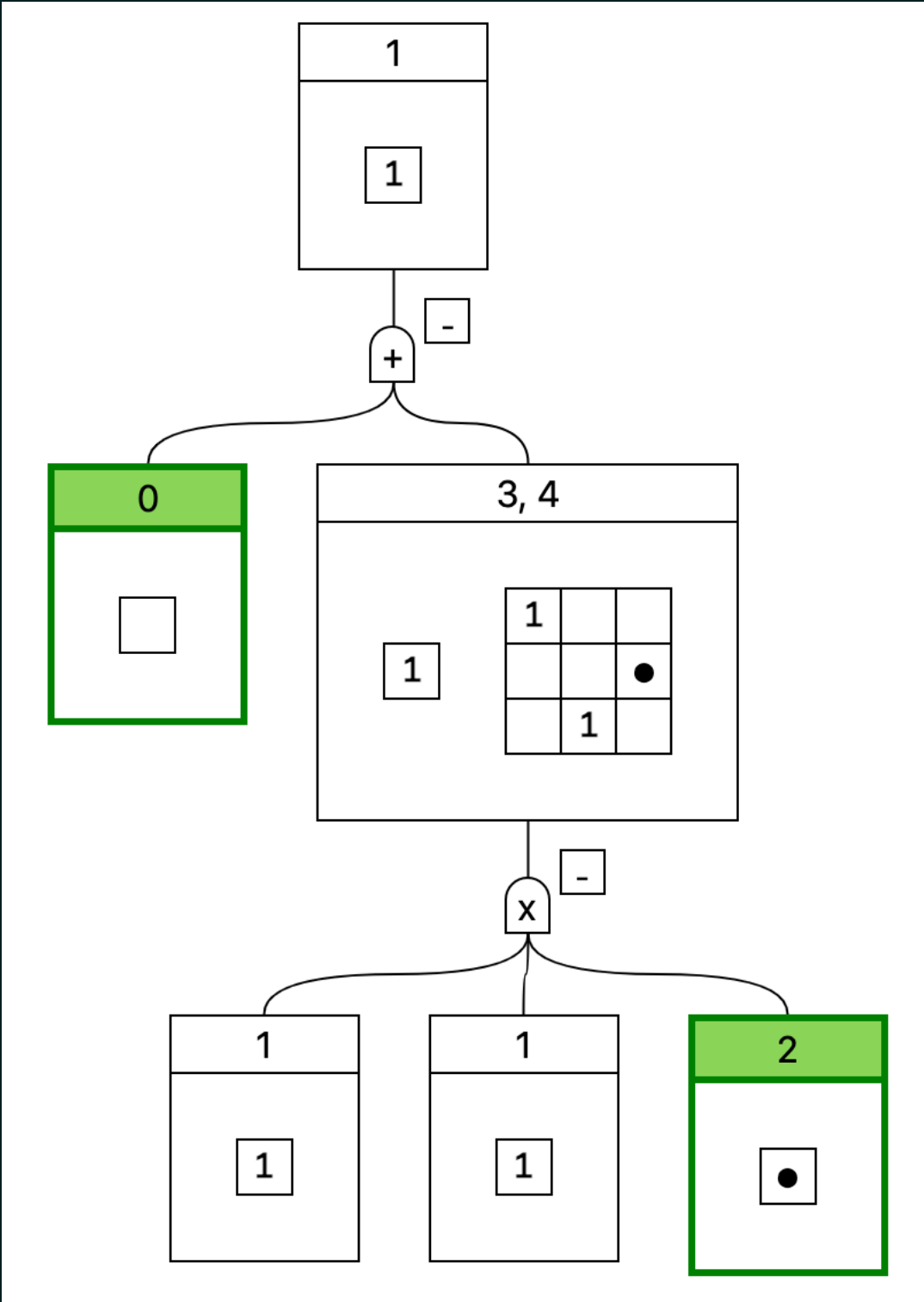
$$F_0(x) = F_{547}(x) + F_{373}(x)$$

$$F_1(x) = F_0(x) - F_{118}(x)$$

...

$$F_{549}(x) = 0$$

550 equations  $\longrightarrow$  guess-and-check





Computational Difficulties — with 1 catalytic variable!

Permutations avoiding 123:

$$F_0(x) = F_{11}(x) + F_6(x)$$

$$F_1(x) = F_{12}(x) \cdot F_2(x)$$

$$F_2(x) = F_3(x, 1)$$

$$F_3(x, y) = F_7(x, y) + F_8(x, y)$$

$$F_4(x, y) = F_{12}(x) \cdot F_5(x, y) \cdot F_8(x, y)$$

$$F_5(x, y) = \frac{yF_3(x, y) - F_3(x, 1)}{y - 1}$$

$$F_6(x) = F_1(x)$$

$$F_7(x, y) = F_4(x, y)$$

$$F_8(x, y) = F_{10}(x, y) + F_{11}(x)$$

$$F_9(x, y) = F_{13}(x, y) \cdot F_8(x, y)$$

$$F_{10}(x, y) = F_9(x, y)$$

$$F_{11}(x) = 1$$

$$F_{12}(x) = x$$

$$F_{13}(x, y) = xy$$

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Computational Difficulties — with 1 catalytic variable!

Much harder with hundreds of equations  
(intermediate computations become huge before  
simplifying again).

Is there a guess-and-check approach that could  
work?

## Computational Difficulties — with 2+ catalytic variables!

$$F_0(x) = F_1(x) + F_{15}(x)$$

$$F_1(x) = F_{16}(x) \cdot F_2(x)$$

$$F_2(x) = F_3(x, 1)$$

$$F_3(x, y) = F_{12}(x, y) + F_{15}(x) + F_4(x, y)$$

$$F_4(x, y) = F_{17}(x, y) \cdot F_5(x, y)$$

$$F_5(x, y) = F_{14}(x, 1, y)$$

$$F_6(x, y, z) = F_{11}(x, y, z) + F_{15}(x) + F_7(x, y, z) + F_9(x, y, z)$$

$$F_7(x, y, z) = F_{17}(x, z) \cdot F_8(x, y, z)$$

$$F_8(x, y, z) = \frac{yF_{14}\left(x, \frac{y}{z}, z\right) - z \cdot F_{14}(x, 1, z)}{y - z}$$

$$F_9(x, y, z) = F_{10}(x, y, z) \cdot F_{16}(x)$$

$$F_{10}(x, y, z) = \frac{zF_6(x, y, z) - F_6(x, y, 1)}{z - 1}$$

$$F_{11}(x, y, z) = F_{17}(x, y) \cdot F_6(x, y, z)$$

$$F_{12}(x, y) = F_{13}(x, y) \cdot F_{16}(x)$$

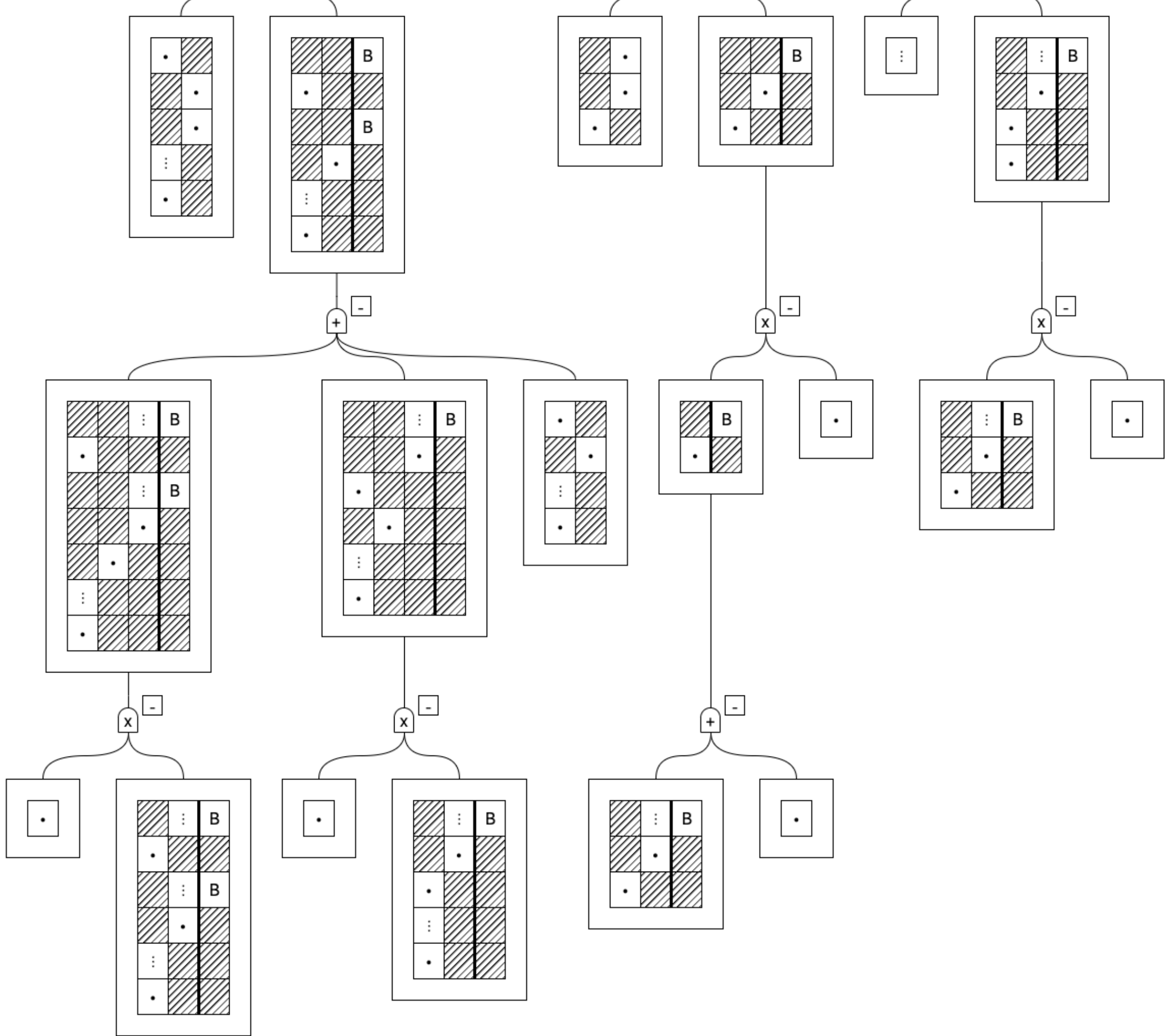
$$F_{13}(x, y) = \frac{yF_3(x, y) - F_3(x, 1)}{y - 1}$$

$$F_{14}(x, y, z) = F_6(x, yz, z)$$

$$F_{15}(x) = 1$$

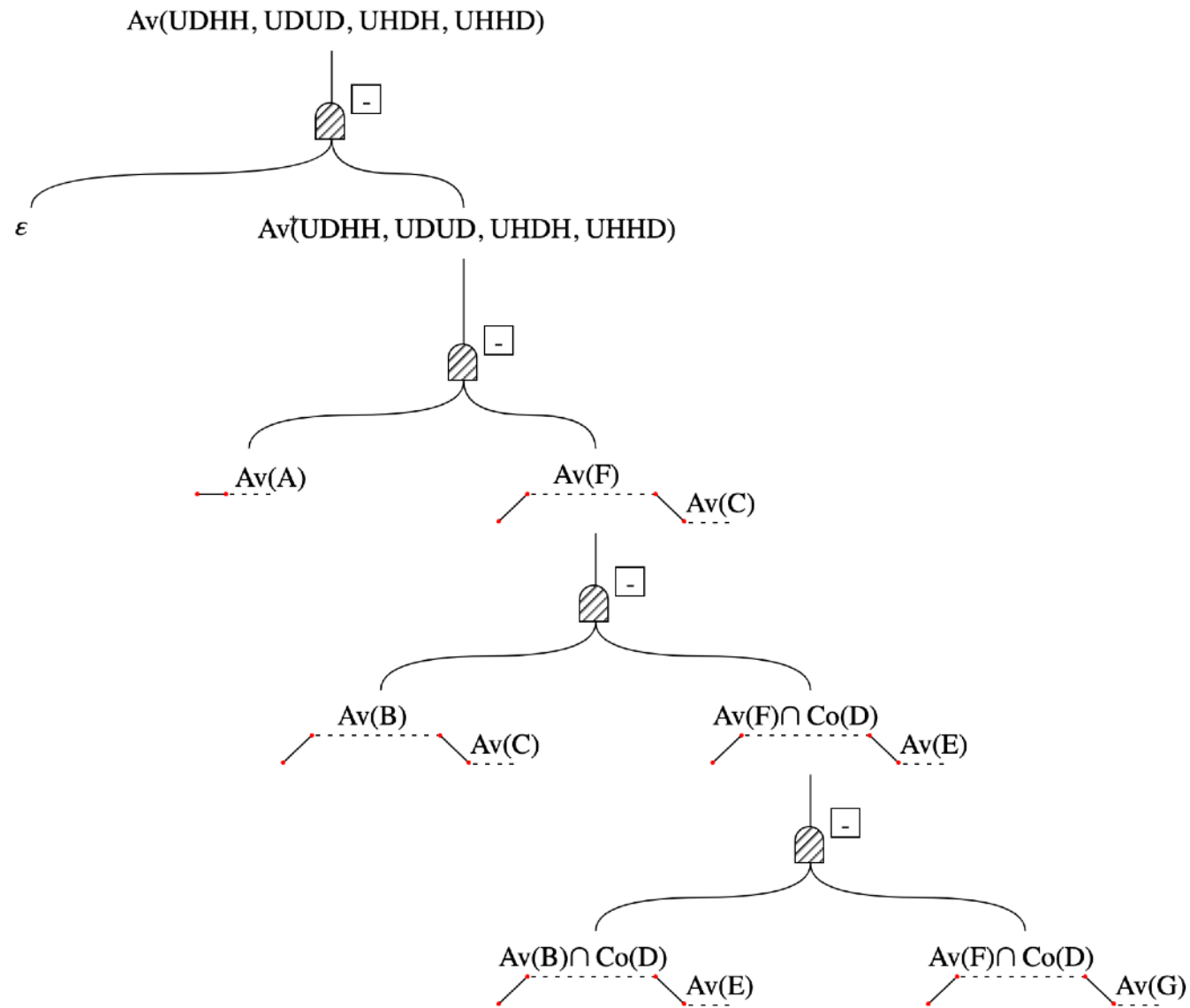
$$F_{16}(x) = x$$

$$F_{17}(x, y) = xy$$



Motzkins paths avoiding: UDHH, UDUD, UHDD, UHHD

Proof tree for Motzkin paths avoiding: UDHH, UDUD, UHDD, UHHD





132-avoiding ASMs

Green is for generating functions

$\{E\} = 1$

$F$

$G$

the avoided pattern should really be

Every non-empty ASM has a unique 1 in the rightmost column

This 'tiling' does not separate, because there can be -1s around

So we batch on whether the row contains -1 or not

$\alpha F^2$

this needs to be justified, but it is easy

place rightmost -1 in row

I'm not drawing the obs. here bec. it would get messy

Infernal

infernal

This is not an 'ASM-tiling' because of the -1 but it is equivalent to

This is not obvious, but still valid

this strategy will contribute H.G. in the system, NOT  $\alpha \cdot H.G.$  because dimension

$G$  (a non-empty F)

$F = 1 + x + x \cdot G$   
 $G = x + x \cdot G$   
 $\Rightarrow G = \frac{x}{1-x}$   
 $\Rightarrow F = 1 + x + \frac{x^2}{1-x}$   
 $= \frac{1}{1-x}$

*Similar to tilings, where*  
 $\square = \begin{matrix} \color{red}\square & \color{red}\square \\ \color{red}\square & \color{red}\square \end{matrix}$   
 $\square = \begin{matrix} \color{red}\square & \color{red}\square \\ \color{red}\square & \color{blue}\square \end{matrix}$

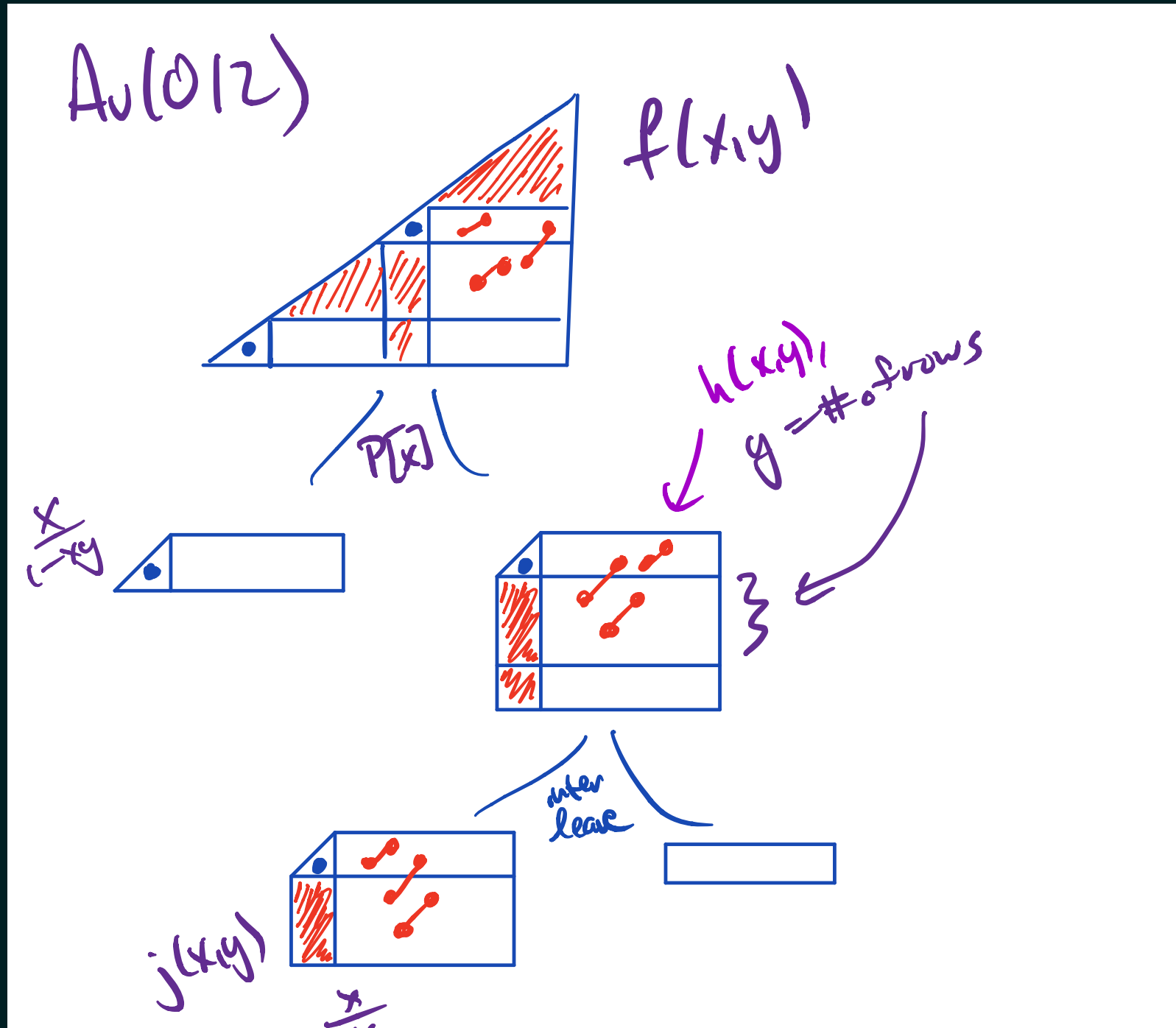
*place bottom-most row, left-most box*

*clean*

*cell ins. in N*

*because of connectedness*

*See below*



Thank you!