

A COMBINATORIAL CONSTRUCTION FOR TWO FORMULAS IN SLATER'S LIST

Kağan Kurşungöz

Sabancı University, İstanbul
kursungoz@sabanciuniv.edu

ACA 2021
Session on Algorithmic Combinatorics
Jul. 25, 2021

DEFINITION

An integer partition is an unordered finite sum of positive integers (parts) ($\lambda_1 + \lambda_2 + \cdots + \lambda_m = n$).

For the purposes of this talk, we will write parts in increasing order.

EXAMPLE

$$4 + 8 + 10 = 22$$

DEFINITION

For $n \in \mathbb{N}$,

$$(a; q)_n = \prod_{j=1}^n (1 - aq^{j-1}),$$

and for $|q| < 1$

$$(a; q)_\infty = \lim_{n \rightarrow \infty} (a; q)_n = \prod_{j=1}^{\infty} (1 - aq^{j-1}).$$

(*sine qua non* of q -series)

EULER'S PARTITION IDENTITY

THEOREM

(combinatorial version)

For $n \in \mathbb{N}$,

the number of partitions of n into distinct parts equals the number of partitions of n into odd parts.

(q -series version)

$$\sum_{n \geq 0} \frac{q^{\binom{n+1}{2}}}{(q; q)_n} = \frac{1}{(q; q^2)_\infty}$$

EULER'S PARTITION THEOREM EXAMPLE

This example is only for the *multiplicity* side.

EXAMPLE

Among all partitions of 5:

$$1 + 1 + 1 + 1 + 1, \quad 1 + 1 + 1 + 2, \quad 1 + 2 + 2,$$

$$1 + 1 + 3, \quad 2 + 3, \quad 1 + 4, \quad 5,$$

only three of them are into distinct parts:

$$2 + 3, \quad 1 + 4, \quad 5.$$

ROGERS-RAMANUJAN IDENTITIES (ONE OF)

THEOREM

(combinatorial version)

For any $n \in \mathbb{N}$, the number of partitions of n into distinct and non-consecutive parts equals the number of partitions into parts $\equiv \pm 1 \pmod{5}$.

(q -series version)

$$\sum_{n \geq 0} \frac{q^{n^2}}{(q; q)_n} = \frac{1}{(q; q^5)_\infty (q^4; q^5)_\infty}$$

THE FIRST ROGERS-RAMANUJAN IDENTITY

EXAMPLE

This example, too, is only for the multiplicity side.

EXAMPLE

Among all partitions of 9 into distinct parts:

$$2 + 3 + 4, \quad 1 + 3 + 5, \quad 4 + 5, \quad 1 + 2 + 6,$$

$$3 + 6, \quad 2 + 7, \quad 1 + 8, \quad 9,$$

only five of them are free of consecutive parts:

$$1 + 3 + 5, \quad 3 + 6, \quad 2 + 7, \quad 1 + 8, \quad 9.$$

Can we start with Euler's identity,
keep track of the consecutive pairs of parts,
then eliminate them using inclusion/exclusion?

Yes (this is the rest of the talk)

Then, We will have an *alternative series*
for the Rogers-Ramanujan identities.

HOW IS THE INCLUSION/EXCLUSION SUPPOSED TO WORK?

$$1 + 3 + 4 + 5 + 7 + 9 + 11 + 12 + 14 + 15 + 16$$

THE COMBINATORIAL MOVES AND THE MINIMAL PARTITIONS

As a warmup, let's look at the series
from the series side of Euler's Partition Identity:

$$\sum_{n \geq 0} q^{\binom{n+1}{2}} \frac{1}{(q; q)_n}$$

THE COMBINATORIAL MOVES AND THE MINIMAL PARTITIONS

1 3 4 5 7 9 11 12 14 15 16

GENERATING FUNCTION FOR k DESIGNATED RAFTS

THEOREM

Let λ be a partition into distinct parts having exactly k designated rafts for $k \geq 1$. A generating function for such λ is

$$\sum_{m \geq 0} q^{\binom{3k+m}{2} - 3\binom{k}{2}} \begin{bmatrix} m+k-1 \\ k-1 \end{bmatrix}_{q^{-1}} \frac{1}{(q^2; q^2)_k} (-q^{3k+m+1}; q)_{\infty}$$

THE MAIN THEOREM

THEOREM (SLATER #19)

$$(-q; q)_{\infty} \sum_{n \geq 0} \frac{(-1)^j q^{3j^2}}{(q^2; q^2)_j (-q; q)_{2j}} = \frac{1}{(q; q^5)_{\infty} (q^4; q^5)_{\infty}}.$$

PROOF.

For $k = 0$ (i.e. no designated rafts),
the generating function is $(-q; q)_{\infty}$.

Combine the previous theorem,
inclusion-exclusion,

THE MAIN THEOREM

THEOREM (SLATER #19)

$$(-q; q)_{\infty} \sum_{n \geq 0} \frac{(-1)^j q^{3j^2}}{(q^2; q^2)_j (-q; q)_{2j}} = \frac{1}{(q; q^5)_{\infty} (q^4; q^5)_{\infty}}.$$

PROOF.

For $k = 0$ (i.e. no designated rafts), the generating function is $(-q; q)_{\infty}$. Combine the previous theorem, inclusion-exclusion,

THE MAIN THEOREM

THEOREM (SLATER #19)

$$(-q; q)_{\infty} \sum_{n \geq 0} \frac{(-1)^j q^{3j^2}}{(q^2; q^2)_j (-q; q)_{2j}} = \frac{1}{(q; q^5)_{\infty} (q^4; q^5)_{\infty}}.$$

PROOF.

For $k = 0$ (i.e. no designated rafts),
the generating function is $(-q; q)_{\infty}$.
Combine the previous theorem,
inclusion-exclusion,



THE MAIN THEOREM

PROOF (CONT'D).

use q -Gauss'
$$\left(\sum_{n \geq 0} \frac{(a; q)_n (b; q)_n (c/ab)^n}{(q; q)_n (c; q)_n} = \frac{(c/a; q)_\infty (c/b; q)_\infty}{(c; q)_\infty (c/ab; q)_\infty} \right)$$

under an appropriate limit,

and the q -binomial theorem
$$\left(\frac{(az; q)_\infty}{(z; q)_\infty} = \sum_{n \geq 0} \frac{(a; q)_n z^n}{(q; q)_n} \right).$$

After inclusion/exclusion,

the surviving partitions are those which can have no rafts,
i.e. partitions into distinct parts with no consecutive parts.

The first Rogers-Ramanujan identity finishes the proof.

THE MAIN THEOREM

PROOF (CONT'D).

use q -Gauss'
$$\left(\sum_{n \geq 0} \frac{(a; q)_n (b; q)_n (c/ab)^n}{(q; q)_n (c; q)_n} = \frac{(c/a; q)_\infty (c/b; q)_\infty}{(c; q)_\infty (c/ab; q)_\infty} \right)$$

under an appropriate limit,

and the q -binomial theorem
$$\left(\frac{(az; q)_\infty}{(z; q)_\infty} = \sum_{n \geq 0} \frac{(a; q)_n z^n}{(q; q)_n} \right).$$

After inclusion/exclusion,

the surviving partitions are those which can have no rafts,
i.e. partitions into distinct parts with no consecutive parts.

The first Rogers-Ramanujan identity finishes the proof.

THE MAIN THEOREM

PROOF (CONT'D).

use q -Gauss'
$$\left(\sum_{n \geq 0} \frac{(a; q)_n (b; q)_n (c/ab)^n}{(q; q)_n (c; q)_n} = \frac{(c/a; q)_\infty (c/b; q)_\infty}{(c; q)_\infty (c/ab; q)_\infty} \right)$$

under an appropriate limit,

and the q -binomial theorem
$$\left(\frac{(az; q)_\infty}{(z; q)_\infty} = \sum_{n \geq 0} \frac{(a; q)_n z^n}{(q; q)_n} \right).$$

After inclusion/exclusion,

the surviving partitions are those which can have no rafts,
i.e. partitions into distinct parts with no consecutive parts.

The first Rogers-Ramanujan identity finishes the proof.

THE MAIN THEOREM

PROOF (CONT'D).

use q -Gauss'
$$\left(\sum_{n \geq 0} \frac{(a; q)_n (b; q)_n (c/ab)^n}{(q; q)_n (c; q)_n} = \frac{(c/a; q)_\infty (c/b; q)_\infty}{(c; q)_\infty (c/ab; q)_\infty} \right)$$

under an appropriate limit,

and the q -binomial theorem
$$\left(\frac{(az; q)_\infty}{(z; q)_\infty} = \sum_{n \geq 0} \frac{(a; q)_n z^n}{(q; q)_n} \right).$$

After inclusion/exclusion,

the surviving partitions are those which can have no rafts,
i.e. partitions into distinct parts with no consecutive parts.

The first Rogers-Ramanujan identity finishes the proof. \square

Hirschhorn gave a much shorter combinatorial account, sticking to standard definitions in the theory.

Bringmann, Mahlburg and Nataraj obtained similar formulas by solving q -difference equations.

Hirschhorn gave a much shorter combinatorial account, sticking to standard definitions in the theory.

Bringmann, Mahlburg and Nataraj obtained similar formulas by solving q -difference equations.

INSERTING STAIRCASES

$$\sum_{m,n,k \geq 0} \frac{q^{\binom{n+1}{2} + d \binom{n}{2}}}{(q; q)_n} \cdot \frac{(-1)^k q^{3k^2 + d \binom{2k}{2}}}{(q^2; q^2)_k} \cdot \frac{(q^{2k}; q)_m q^{d \binom{m}{2}} (-q)^m}{(q; q)_m} q^{2dnk + dnm + 2dkm}$$

generates partitions into parts
that are $(2 + d)$ -apart for $d \geq 0$.

Unless $d = 0$, we cannot reduce the number of summations.

INSERTING STAIRCASES

$$\sum_{m,n,k \geq 0} \frac{q^{\binom{n+1}{2} + d \binom{n}{2}}}{(q; q)_n} \cdot \frac{(-1)^k q^{3k^2 + d \binom{2k}{2}}}{(q^2; q^2)_k} \cdot \frac{(q^{2k}; q)_m q^{d \binom{m}{2}} (-q)^m}{(q; q)_m} q^{2dnk + dnm + 2dkm}$$

generates partitions into parts
that are $(2 + d)$ -apart for $d \geq 0$.

Unless $d = 0$, we cannot reduce the number of summations.

Thank you for your attention.

Any questions?

-  K. Bringmann, K. Mahlburg, K. Nataraj, Distinct parts partitions without sequences, *The Electronic Journal of Combinatorics*, **22(3)**, (2015), #P3.3.
-  M.D. Hirschhorn, *Developments in the Theory of Partitions*, Ph.D. thesis, University of New South Wales (1979).
-  K. Kurşungöz, A combinatorial construction for two formulas in Slater's list. *International Journal of Number Theory*, **17(03)**, 655–663 (2021).
-  L. J. Slater, Further Identities of the Rogers-Ramanujan Type, *Proc. London Math. Soc. Ser. 2* **54**, 147–167 (1952).

A COMBINATORIAL CONSTRUCTION FOR TWO FORMULAS IN SLATER'S LIST

Kağan Kurşungöz

Sabancı University, İstanbul
kursungoz@sabanciuniv.edu

ACA 2021
Session on Algorithmic Combinatorics
Jul. 25, 2021